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Attorney's Docket No.: 42P14705D

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:	)	
	)	
Robert S. Chau et al.	)	
	)	
U.S. Serial No:	)	Examiner: Unknown
10/728,608	)	
	)	
Filed:	)	Art Unit: Unknown
December 5, 2003	)	
	)	
For: NOVEL FIELD EFFECT	)	
TRANSISTOR AND METHOD OF	)	
FABRICATION	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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(Date of Deposit)

Teresa Mattox

(Typed or printed name of person mailing correspondence)

*Teresa Mattox*

(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

A statement pursuant to 37 C.F.R. §1.97(e) or

A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7/15, 2004



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## STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 2

Application Number	10/728,608
Filing Date	December 5, 2004
First Named Inventor:	Robert S. Chau et al.
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	42P14705D

[illegible]

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>a</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 60-251666	5/2/1986	Suwa Seikosha KK		
		EP 0 531 550 A1	3/17/1993	Asahi Chemical Ltd.		
		EP 1 020 898 A	7/19/2000	Matsushita Electric Inc. Co.		
		WO 01/88995 A	11/22/2001	Phillips Timothy Jonathan		
		EP 1 119 029 A	7/25/2001	Fujitsu Ltd		

Examiner  
Signature

Date Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

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Complete if Known

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(Use as many sheets as necessary)

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Sheet

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of

2

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		International Search Report PCT/US 03/34667	
		Ikeda, K. et al. "50-NM Gate Schottky Source/Drain P-MOSFETS with a SIGE Channel" IEEE Electron Device Letters, IEEE Inc., New York, US, Vol. 23, No. 11, November 2002, pages 670-672, XP001158217, ISSN: 0741-3106, Figure 1	
		Chang, C-Y et al. "High Performance Thin-Film Transistors with Low-High-Low Band Gap Engineering", Proceeding of the SPIE, Bellingham, VA, US, Vol. 3421, July 1998, pages 152-158, XP001189049, ISSN: 0277-786X	

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